STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:

Source:

Date Processed by STIC:

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.4.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
 U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street,
 Alexandria, VA 22314

Revised 01/10/06

Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 055856	i
ATTN: NEW RULES CASES	PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE	
lWrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."	
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.	i
3Misaligned Amino Numbering	The numbering under each 5 th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.	
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.	
5Variable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.	
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped	
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.]
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000	
9Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.	
10Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence	
11Use of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)	·
12Patentin 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	- [4]
13 Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid	
•	•	

AMC - Biotechnology Systems Branch - 09/09/2003



IFWP

RAW SEQUENCE LISTING DATE: 07/20/2006
PATENT APPLICATION: US/10/585,886 TIME: 08:34:04

Input Set : A:\10861-034US1.txt

Output Set: N:\CRF4\07202006\J585886.raw

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4 <110 > APPLICANT: Oberdoerffer, Philipp
             Kanellopolou, Chrysi
      7 <120> TITLE OF INVENTION: SYSTEMS AND METHODS FOR SHORT RNA EXPRESSION
      9 <130> FILE REFERENCE: 10861-034US1
C--> 11 <140> CURRENT APPLICATION NUMBER: US/10/585,886
C--> 11 <141> CURRENT FILING DATE: 2006-07-12
     11 <150> PRIOR APPLICATION NUMBER: PCT/US2005/003104
     12 <151> PRIOR FILING DATE: 2005-01-21
                                                               Does Not Comply
     14 <150> PRIOR APPLICATION NUMBER: US 60/538.871
                                                               Corrected Diskette Needed
     15 <151> PRIOR FILING DATE: 2004-01-22
     17 <160> NUMBER OF SEQ ID NOS: 22
     19 <170> SOFTWARE: FastSEQ for Windows Version 4.0
     21 <210> SEQ ID NO: 1
     22 <211> LENGTH: 623
     23 <212> TYPE: DN
     24 <213 > ORGANISM: Synthetic U6-STOP-shA1 construct
     26 <400> SEQUENCE
     27 tecgaegeeg ceatetetag geeegegeeg geeeetege acagaettgt gggagaaget 60
     28 eggetactee cetgeecegg ttaatttgea tataatattt cetagtaact atagaggett 120
     29 aatgtgcgat aaaagacaga taatctgttc tttttaatac tagctacatt ttacatgata 180
                                                                                   Don
Fror
Smary
     30 ggcttggatt tctataagag atacaaatac taaattatta ttttaaaaaaa cagcacaaaa 240
     31 ggaaactcac cctaactgta aagtaattgt gtgttttgag actataactt cgtatagcat 300
     32 acattatacg aagttattac gtttttgcga tttttgaatt cgttcctcag aggaactgac 360
     33 aagcacceta acatectatt ggaggeteae teaegttttt tetattttgt ttettgaeag 420
     34 cagagetegt tgeteactgt atageteagg ttggeetgae actgatgagg ttetecagtg 480
     35 actgcctcta cctacctact gggatgacag aggtgtacca ccaagccacg cccgggggat 540
     36 ccataacttc gtatagcata cattatacga aggaaatgct ctttctcctc aaagctttga 600
     37 ggagaaagag catttccctt ttt
                                                                          623
     39 <210> SEQ ID NO: 2
     40 <211> LENGTH: 282
     41 <212> TYPE: DNA
     42 <213> ORGANISM: Artificial Sequence
     44 <220> FEATURE:
     46 <223> OTHER INFORMATION: Functional units of the U6-STOP-shA1 construct
     48 <400> SEQUENCE: 2
     49 tecgaegeeg ceatetetag geoegegeeg geoecetege acagaettgt gggagaaget 60
    50 eggetaetee cetgeecegg ttaatttgea tataatattt cetagtaact atagaggett 120
     51 aatgtgcgat aaaagacaga taatctgttc tttttaatac tagctacatt ttacatgata 180
    52 ggcttggatt tctataagag atacaaatac taaattatta ttttaaaaaa cagcacaaaa 240
     53 ggaaactcac cctaactgta aagtaattgt gtgttttgag ac
    55 <210> SEQ ID NO: 3
    56 <211> LENGTH: 5
     57 <212> TYPE: DNA
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DATE: 07/20/2006

TIME: 08:34:04

Input Set : A:\10861-034US1.txt Output Set: N:\CRF4\07202006\J585886.raw 58 <213> ORGANISM: Artificial Sequence 60 <220> FEATURE: 61 <223> OTHER INFORMATION: U6 promoter of TATA box 63 <400> SEQUENCE: 3 64 tataa 5 66 <210> SEQ ID NO: 4 67 <211> LENGTH: 34 68 <212> TYPE: DNA 69 <213> ORGANISM: Unknown 71 <220> FEATURE: 72 <223> OTHER INFORMATION: Wild type of loxP sequence 74 <400> SEQUENCE: 4 75 ataacttcgt atagcataca ttatacgaag ttat 34 77 <210> SEQ ID NO: 5 78 <211> LENGTH: 225 79 <212> TYPE: DNA 80 <213> ORGANISM: Artificial Sequence 82 <220> FEATURE: 84 <223> OTHER INFORMATION: Stop casete sequence includes U6 pol III termination 87 <400> SEQUENCE: 5 88 tacgtttttg cgatttttga attcgttcct cagaggaact gacaagcacc ctaacatcct 60 89 attggagget cactcacgtt ttttctattt tgtttcttga cagcagaget cgttgctcac 120 90 tgtatagete aggttggeet gacactgatg aggtteteca gtgaetgeet etacetaeet 180 91 actgggatga cagaggtgta ccaccaagcc acgcccgggg gatcc 93 <210> SEQ ID NO: 6 94 <211> LENGTH: 212 95 <212> TYPE: DNA 96 <213> ORGANISM: Artificial Sequence 98 <220> FEATURE: 100 <223> OTHER INFORMATION: genomic U6 PolIII termination sequence 102 <400> SEQUENCE: 6 103 tttttgaatt cgttcctcag aggaactgac aagcacccta acatcctatt ggaggctcac 60 104 tracettttt tetattttgt ttettgarag ragagetegt tgetractgt atagetragg 120 105 ttggcctgac actgatgagg ttctccagtg actgcctcta cctacctact gggatgacag 180 106 aggtgtacca ccaagccacg cccgggggat cc 212 108 <210> SEQ ID NO: 7 109 <211> LENGTH: 34 110 <212> TYPE: DNA 111 <213> ORGANISM: Artificial Sequence 113 <220> FEATURE: 114 <223> OTHER INFORMATION: the mutant second loxP site downstream of the STOP 115 cassette 117 <400> SEQUENCE: 7 118 ataacttcgt atagcataca ttatacgaag gaaa 34 120 <210> SEQ ID NO: 8 121 <211> LENGTH: 22 122 <212> TYPE: DNA 123 <213> ORGANISM: Artificial Sequence

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/585,886

RAW SEQUENCE LISTING DATE: 07/20/2006
PATENT APPLICATION: US/10/585,886 TIME: 08:34:04

Input Set : A:\10861-034US1.txt

Output Set: N:\CRF4\07202006\J585886.raw

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126 <223> OTHER INFORMATION: Primer
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133 <212> TYPE: DNA
134 <213> ORGANISM: Artificial Sequence
136 <220> FEATURE:
137 <223> OTHER INFORMATION: Primer
139 <400> SEQUENCE: 9
140 ggtctattac tgtgcaagtt gg
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142 <210> SEQ ID NO: 10
143 <211> LENGTH: 27
144 <212> TYPE: DNA
145 <213> ORGANISM: Artificial Sequence
147 <220> FEATURE:
148 <223> OTHER INFORMATION: Primer
150 <400> SEQUENCE: 10
151 tgtgaattcg ttcctcagag gaactga
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153 <210> SEQ ID NO: 11
154 <211> LENGTH: 36
155 <212> TYPE: DNA
156 <213> ORGANISM: Artificial Sequence
158 <220> FEATURE:
159 <223> OTHER INFORMATION: Primer
161 <400> SEQUENCE: 11
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164 <210> SEQ ID NO: 12
165 <211> LENGTH: 29
166 <212> TYPE: DNA
167 <213> ORGANISM: Artificial Sequence
169 <220> FEATURE:
170 <223> OTHER INFORMATION: Primer
172 <400> SEQUENCE: 12
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173 gactctagat ccgacgccgc catctctag
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176 <211> LENGTH: 85
177 <212> TYPE: DNA
178 <213> ORGANISM: Artificial Sequence
180 <220> FEATURE:
181 <223> OTHER INFORMATION: Primer
183 <400> SEQUENCE: 13
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185 agteteaaaa cacacaatta ettae
187 <210> SEQ ID NO: 14
188 <211> LENGTH: 35
189 <212> TYPE: DNA
190 <213> ORGANISM: Artificial Sequence
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RAW SEQUENCE LISTING DATE: 07/20/2006
PATENT APPLICATION: US/10/585,886 TIME: 08:34:04

Input Set : A:\10861-034US1.txt

Output Set: N:\CRF4\07202006\J585886.raw

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193 <223> OTHER INFORMATION: Primer
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199 <211> LENGTH: 41
200 <212> TYPE: DNA
201 <213> ORGANISM: Artificial Sequence
203 <220> FEATURE:
204 <223> OTHER INFORMATION: Primer
206 <400> SEQUENCE: 15
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209 <210> SEQ ID NO: 16
210 <211> LENGTH: 32
211 <212> TYPE: DNA
212 <213> ORGANISM: Artificial Sequence
214 <220> FEATURE:
215 <223> OTHER INFORMATION: Primer
217 <400> SEQUENCE: 16
218 ctggatcctt acttgaggag aaagagcatt tc
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220 <210> SEQ ID NO: 17
221 <211> LENGTH: 21
222 <212> TYPE: DNA
223 <213> ORGANISM: Artificial Sequence
225 <220> FEATURE:
226 <223> OTHER INFORMATION: Primer
228 <400> SEQUENCE: 17
229 ttcctaataa cccagccttt g
                                                                        21
231 <210> SEQ ID NO: 18
232 <211> LENGTH: 21
233 <212> TYPE: DNA
234 <213> ORGANISM: Artificial Sequence
236 <220> FEATURE:
237 <223> OTHER INFORMATION: Primer
239 <400> SEQUENCE: 18
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242 <210> SEQ ID NO: 19
243 <211> LENGTH: 24
244 <212> TYPE: DNA
245 <213> ORGANISM: Artificial Sequence
247 <220> FEATURE:
248 <223> OTHER INFORMATION: Primer
250 <400> SEQUENCE: 19
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254 <211> LENGTH: 24
255 <212> TYPE: DNA
256 <213> ORGANISM: Artificial Sequence
258 <220> FEATURE:
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RAW SEQUENCE LISTING DATE: 07/20/2006 PATENT APPLICATION: US/10/585,886 TIME: 08:34:04

Input Set : A:\10861-034US1.txt

Output Set: N:\CRF4\07202006\J585886.raw

259 <223> OTHER INFORMATION: Primer 261 <400> SEQUENCE: 20 262 gcagaaaagt cagccagcca gatt 24 264 <210> SEQ ID NO: 21 265 <211> LENGTH: 20 266 <212> TYPE: DNA 267 <213> ORGANISM: Artificial Sequence 269 <220> FEATURE: 270 <223> OTHER INFORMATION: Primer 272 <400> SEQUENCE: 21 273 caagaggag agcaagccta 20 275 <210> SEQ ID NO: 22 276 <211> LENGTH: 20 277 <212> TYPE: DNA 278 <213> ORGANISM: Artificial Sequence 280 <220> FEATURE:

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281 <223> OTHER INFORMATION: Primer

283 <400> SEQUENCE: 22 284 cgtctcaggc cttcagtgag
 VERIFICATION SUMMARY
 DATE: 07/20/2006

 PATENT APPLICATION: US/10/585,886
 TIME: 08:34:05

Input Set : A:\10861-034US1.txt

Output Set: N:\CRF4\07202006\J585886.raw

L:11 M:270 C: Current Application Number differs, Replaced Current Application No

L:11 M:271 C: Current Filing Date differs, Replaced Current Filing Date